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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vogels et al.

Serial No.: 09/517,898

Filed: March 3, 2000

For: MEANS AND METHODS FOR  
FIBROBLAST-LIKE OR MACROPHAGE-  
LIKE CELL TRANSDUCTION

Confirmation No.: 5448

Examiner: Q. Li

Group Art Unit: 1632

Attorney Docket No.: 2578-3982.2US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 26, 2004  
Date

  
Signature

Betty Vowles  
Name (Type/Print)

CHANGE OF NAME OF ASSIGNEE

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. Particulars of Assignment. An assignment has been recorded in the Patent and Trademark Office for this invention on October 25, 2001, Reel/Frame 012564/0387, Number of Pages: 3. A copy of the recorded Assignment is attached.
2. Old Name of Assignee. The old name of the assignee shown on the above assignment is Introgen B.V..
3. New Name of Assignee. The new name of the assignee is **Crucell Holland B.V.**
4. Proof of Change of Name. Proof of change of name is established by the attached Statement About Articles of Association indicating a name change from the following authority: Robert Jan Jozef Lijdsman, civil law notary in Amsterdam.

4. Payment of Fee. Please refer to the "Recordation Form Cover Sheet," to which this paper is attached.

Respectfully submitted,



Andrew F. Nilles  
Registration No. 47,825  
Attorney for Applicant(s)  
TRASKBRITT, P.C.  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: March 26, 2004  
AFN/bv

Enclosures: Copy of Statement About Articles of Association (2 pages)  
Copy of Notice of Recordation of Assignment Document (5 pages)

Document in ProLaw

**ALLEN & OVERY**

RJL/IntroGene/Crucell Holland BV/statement  
47024-00019/AMCO:97059.1

**STATEMENT ABOUT ARTICLES OF ASSOCIATION**

Robert Jan Jozef Lijdsman, civil law notary in Amsterdam,

hereby declares:

the attached document is a fair English translation of the Articles of Association of:

**Crucell Holland B.V.**  
(formerly named: IntroGene B.V.)  
having its official seat in Leiden.

as they read after execution of the deed of amendment on 25 October 2000 before me, civil law notary aforementioned, ministerial Statement of No Objections number B.V. 451662.

Crucell Holland B.V. is a private limited liability company under Dutch law ("besloten vennootschap met beperkte aansprakelijkheid"), having its office address at Archimedesweg 4, 2333 CN Leiden and registered in the Commercial Register under number 28074607.

In preparing the attached document, an attempt has been made to translate as literally as possible without jeopardizing the overall continuity of the text. Inevitably, however, differences may occur in translation, and if they do, the Dutch text will by law govern.

In the attached document, Dutch legal concepts are expressed in English terms and not in their original Dutch terms; the concepts concerned may not be identical to concepts described by the English terms as such terms may be understood under the laws of other jurisdictions.

Amsterdam, 12 July 2002.



**ALLEN & OVERY****AMENDMENT OF THE ARTICLES OF ASSOCIATION OF:****[IntroGene B.V.**

(new name: Crucell Holland B.V.)

having its official seat in Leiden

Deed of 25 October 2002

**CONTENTS:**

- certified copy of the deed of amendment of the Articles of Association of IntroGene B.V. (new name: Crucell Holland B.V.), executed on 25 October 2002, before Robert Jan Jozef Lijdsman, civil law notary in Amsterdam;
- English office translation of the Articles of Association after this amendment.



RECEIVED

APR 18 2002

APRIL 09, 2002

PTAS

Trask Britt

Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

TRASK BRITT  
ALLEN C. TURNER  
P.O. BOX 2550  
SALT LAKE CITY, UT 84110-2550



\*102016629A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/25/2001

REEL/FRAME: 012564/0387  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
VOGELS, RONALD

DOC DATE: 01/31/2001

ASSIGNOR:  
SCHOUTEN, GOVERT J.

DOC DATE: 02/01/2001

ASSIGNOR:  
BOUT, ABRAHAM

DOC DATE: 02/01/2001

ASSIGNOR:  
HAVENGA, MENZO JANS EMCO

DOC DATE: 01/31/2001

ASSIGNEE:  
INTROGENE B.V.  
WASSENARSEWEG 72  
2333 AL LEIDEN, NETHERLANDS

FILING DATE: 03/03/2000  
ISSUE DATE:

SERIAL NUMBER: 09517898  
PATENT NUMBER:

012564/0387 PAGE 2

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

02-12-2002

RE



ET

OCT 25 2001

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APR 01 2004



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.  
Unless filed with a new application, mail to BOX ASSIGNMENT, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

## 1. Name of conveying party(ies):

Ronald Vogels  
Govert J. Schouten  
Abraham Bout  
Menzo Jans Emco Havenga

10-25-01

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger         |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> License               | <input type="checkbox"/> Other          |

Execution Date: January 31, 2001; February 1, 2001

## 2. Name and address of receiving party(ies):

Name: INTROGENE B.V.  
Address: Wassenaarseweg 72,  
2333 AL Leiden, The Netherlands

Additional name(s) & address(es) attached?  Yes  No

## NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EL740547258US

Date of Deposit with USPS: October 25, 2001

Person making Deposit: Daniel Thatcher

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. U.S. Patent Application No.(s)

09/517,898

## B. U.S. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Allen C. Turner  
Trask Britt  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
  
Attorney Docket No. 3982.2US

## 6. Total number of U.S. applications and U.S. patents involved:

1

7. Total fee (37 C.F.R. § 3.41) . . . . . \$ 40.00  
(\$40.00 times number in box 6)

Check no. 1309 is enclosed in this amount.

8. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to deposit account number 20-1469.

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct.

Allen C. Turner

Name of Person Signing  
Reg. No. 33,041

Signature

10/25/01

Date

Total number of pages including cover sheet, attachments and document: 3

PATENT

Attorney Docket No. 3982.2US

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, each undersigned ASSIGNOR does hereby:

SELL, ASSIGN AND TRANSFER to INTROGENE B.V. ("ASSIGNEE"), a corporation of The Netherlands having a place of business at Wassenaarseweg 72, 2333 AL Leiden, The Netherlands, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the Application for United States Letters Patent Serial No. 09/517,898 filed on March 3, 2000, and entitled MEANS AND METHODS FOR FIBROBLAST-LIKE OR MACROPHAGE-LIKE CELL TRANSDUCTION, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent or the legal equivalent thereof which have been or may be filed in the United States and all foreign countries relating to any of such improvements; all original, reexamined and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application made in the United States;

AUTHORIZE the ASSIGNEE to apply for and receive any and all United States and foreign patents relating to such improvements in its own name;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to and in the name of the ASSIGNEE;

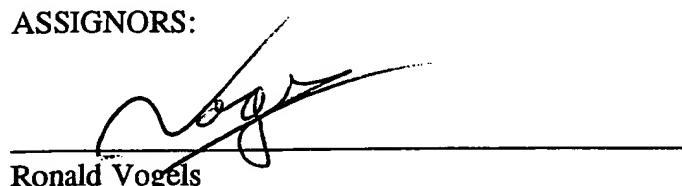
WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement or encumbrance affecting the rights and property herein conveyed has been or will be made or entered into by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the ASSIGNEE, to carry out in good faith the intent and purpose of this assignment, to execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications relating to any and all such improvements; to execute all rightful oaths, declarations, assignments, powers of attorney and other papers; to communicate to the ASSIGNEE all facts and provide to the ASSIGNEE all documents and things known and accessible to the undersigned relating to such improvements and the history thereof, and testify as to the same in any interference, litigation or other proceeding relating thereto; and generally to do everything possible which the ASSIGNEE shall consider desirable for vesting title to such improvements in the ASSIGNEE, and to

secure, maintain, defend and enforce valid and enforceable patent protection for such improvements;

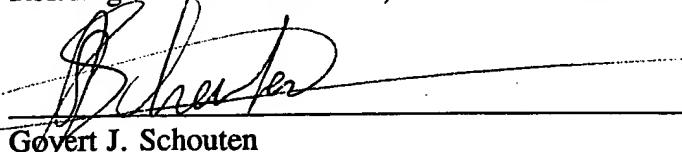
AGREE and ACKNOWLEDGE that the SALE, ASSIGNMENT AND TRANSFER of rights and property set forth herein is and shall be IRREVOCABLE and BINDING upon the heirs, assigns, representatives and successors of each undersigned ASSIGNOR and EXTEND to the successors, assigns and nominees of the ASSIGNEE.

ASSIGNORS:

  
Ronald Vogels

Date 31 jan 01

Residing at: Van Rietlaan 4, 3461 HW Linschoten, The Netherlands

  
Govert J. Schouten

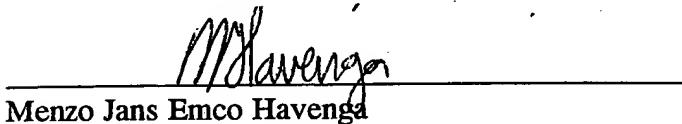
Date 1 feb 01

Residing at: Maria van Hongarijelaan 27, 2353 LE LEIDERDORP  
the Netherlands

  
Abraham Bout

Date 01 Feb 2001

Residing at: van Swaanswijckstraat 38, 2751 XL MOERKAPPELLE  
the Netherlands

  
Menzo Jans Emco Havenga

Date 31 Jan 01

Residing at: Wilhelmina Druckerstraat 66, 2401 KG Alphen aan de Rijn, The Netherlands